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## **Molecular Simulation**

Publication details, including instructions for authors and subscription information:

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### **Theoretical exploration of the ROS-photogenerating mechanisms of $\alpha$ -tocopherolquinone**

Liang Shen<sup>a</sup>; Hong-Fang Ji<sup>a</sup>

<sup>a</sup> Shandong Provincial Research Center for Bioinformatic Engineering and Technique, Center for Advanced Study, Shandong University of Technology, Zibo, P.R. China

**To cite this Article** Shen, Liang and Ji, Hong-Fang(2008) 'Theoretical exploration of the ROS-photogenerating mechanisms of  $\alpha$ -tocopherolquinone', *Molecular Simulation*, 34: 8, 809 – 811

**To link to this Article:** DOI: 10.1080/08927020802294323

**URL:** <http://dx.doi.org/10.1080/08927020802294323>

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## Theoretical exploration of the ROS-photogenerating mechanisms of $\alpha$ -tocopherolquinone

Liang Shen and Hong-Fang Ji\*

Shandong Provincial Research Center for Bioinformatic Engineering and Technique, Center for Advanced Study, Shandong University of Technology, Zibo, P.R. China

(Received 22 November 2007; final version received 21 June 2008)

Vitamin E (VE) is one of the most popular bio-antioxidants, while meta-analysis indicates that high levels of supplementation with VE may increase all-cause mortality. In this study, the photosensitisation mechanisms of  $\alpha$ -tocopherolquinone ( $\alpha$ -TQ), one of the important oxidation products of VE, were explored by means of quantum chemical calculations. The results indicate that excited state  $\alpha$ -TQ can photogenerate thermodynamically various reactive oxygen species (ROS), e.g.  $^1\text{O}_2$  and  $\text{O}_2^-$ , and the corresponding ROS-photogenerating mechanisms in different solvents were illustrated. The present results are helpful to understand the paradoxical activities of VE.

**Keywords:** vitamin E;  $\alpha$ -tocopherolquinone; photosensitisation mechanism; reactive oxygen species; density functional theory

### 1. Introduction

In recent years, numerous natural antioxidants have been screened to alleviate or eliminate the free-radical induced impairments in the pathological processes of various diseases. Vitamin E (VE) is one of the most popular bio-antioxidants for its superb free-radical scavenging activities. However, meta-analysis indicates that high levels of supplementation with VE may increase all-cause morbidity [1,2]. Therefore, it is interesting to note a recent report that one of the important oxidation products of VE,  $\alpha$ -tocopherolquinone ( $\alpha$ -TQ, Figure 1), can photogenerate reactive oxygen species (ROS) [3], which may in part account for the enhanced levels of overall mortality caused by supplementation with high levels of VE. Thus, it is of significance to explore the photosensitive properties of  $\alpha$ -TQ. Considering the successful applications of quantum chemical methods, especially time-dependent density functional theory (TD-DFT), in investigating the photo-physicochemical properties of various photosensitive compounds [4–7], we attempt to illustrate the photosensitisation mechanisms of  $\alpha$ -TQ by theoretical means.

### 2. Theoretical methods

The calculation details are as follows. Initial structure of  $\alpha$ -TQ was fully optimised in solvents (benzene and water) by the hybrid B3LYP functional with 6-31G(d,p) Gaussian basis set and the nature of the stationary point was ascertained by performing harmonic frequency calculations. Then, the triplet excited state energy of  $\alpha$ -TQ in benzene and water was calculated by TD-DFT formalism using the same

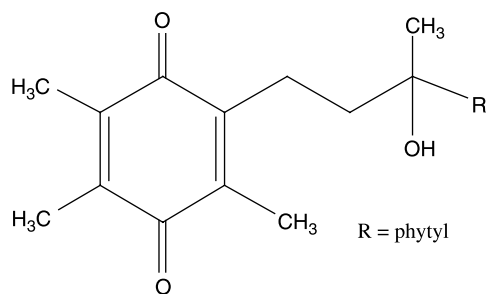
basis set [8–10]. Although, the TD-DFT calculations neglected the geometry relaxation of the excited states, they can give reliable triplet state parameters used to study the photosensitisation mechanisms of various photosensitisers according to previous studies [4–7]. To ensure the accuracy of the calculation, the vertical electron affinities (VEAs) and vertical ionisation potentials (VIPs) of  $\alpha$ -TQ were estimated by a combined method labelled as B3LYP/6-311 + G(2d,2p)//B3LYP/6-31G(d,p), which means that B3LYP/6-311 + G(2d,2p) was employed to perform a single-point calculation on the basis of B3LYP/6-31G(d,p)-optimised structures. During the calculations, the solvent effects were taken into consideration by employing the self-consistent reaction field (SCRF) method with polarisable continuum model (PCM) [11–13]. Considering the fact that the phytyl chain has little influence on the electronic properties of  $\alpha$ -TQ, it was replaced by a methyl group during the calculation. All the calculations were completed using the Gaussian 03 package of programs [14].

### 3. Results and discussion

During the photosensitisation processes, photosensitiser is firstly excited from the ground ( $S_0$ ) state to the first singlet excited ( $S_1$ ) state upon irradiation and may then intersystem cross to the first triplet excited ( $T_1$ ) state, which is mainly responsible for the photosensitive reactions owing to its relatively long life-time.

Firstly,  $T_1$  state  $\alpha$ -TQ may react with  $^3\text{O}_2$  to generate  $^1\text{O}_2$  through energy transfer (Equation (1)). Secondly,  $T_1$  state  $\alpha$ -TQ may directly pass one electron to  $^3\text{O}_2$  to give

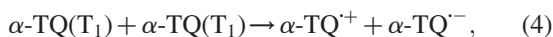
\*Corresponding author. Email: jhf@sdu.edu.cn

Figure 1. Chemical structure of  $\alpha$ -tocopherolquinone.Table 1. Lowest triplet excitation energy ( $E_{T_1}$ , in eV), VEA (in eV) and VIP (in eV) of  $\alpha$ -tocopherolquinone in benzene and water.

	$E_{T_1}$	$VEA_{S_0}$	$VEA_{T_1}^a$	$VIP_{S_0}$	$VIP_{T_1}^b$
Benzene	2.09	-2.66	-4.75	7.86	5.77
Water	2.13	-3.52	-5.65	7.07	4.94

<sup>a</sup> $VEA_{T_1} = VEA_{S_0} - E_{T_1}$ ; <sup>b</sup> $VIP_{T_1} = VIP_{S_0} - E_{T_1}$ .

birth to  $O_2^{\cdot-}$  (Equation 2). Moreover,  $\alpha$ -TQ $^{\cdot-}$ , which can be formed through autoionisation reactions between neighbouring  $T_1$  and  $S_0$  state (Equation 3) or both  $T_1$  state  $\alpha$ -TQ (Equation 4), may donate its extra electron to  $^3O_2$  to generate  $O_2^{\cdot-}$  (Equation 5).



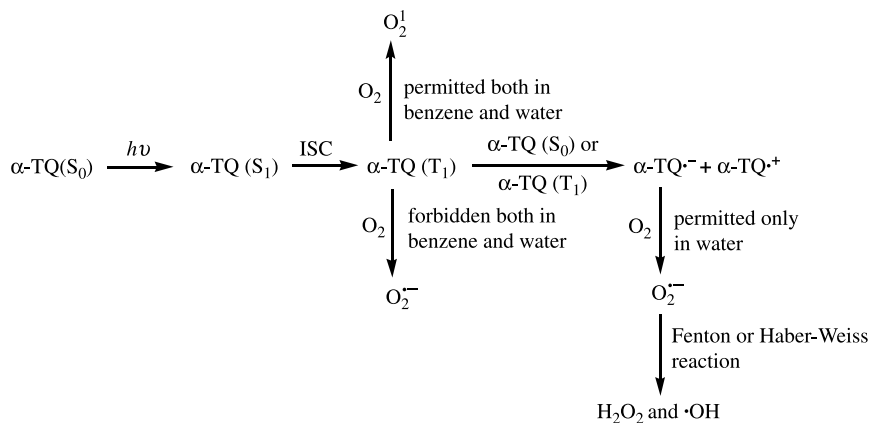
The lowest triplet excitation energy ( $E_{T_1}$ ) of  $\alpha$ -TQ, which is crucial to exploring the photosensitisation mechanisms, is firstly estimated (Table 1). It can be seen

that the  $E_{T_1}$  of  $\alpha$ -TQ in benzene and water are close to each other, indicating the solvent influences little on the  $E_{T_1}$ . As the effectiveness and accuracy of TD-DFT calculation in estimating the  $E_{T_1}$  of various photosensitisers have been demonstrated by previous studies [4–7], the theoretically predicted  $E_{T_1}$  of  $\alpha$ -TQ is reliable, which will be employed for investigating the photosensitisation mechanisms of  $\alpha$ -TQ.

For reaction (1), it was found that  $\alpha$ -TQ can in principle photogenerate  ${}^1O_2$  through direct energy transfer as the  $E_{T_1}$  of  $\alpha$ -TQ is higher than the excited-state energy of  ${}^1O_2$ , 1.05 eV ( ${}^3\Sigma_g^- \rightarrow \Delta_g^1$ ) or 1.65 eV ( ${}^3\Sigma_g^- \rightarrow {}^1\Sigma_g^+$ ) [4], in consistent with the experimentally reported high  ${}^1O_2$ -yield of  $\alpha$ -TQ [3].

Then, based on the related electronic parameters of  $\alpha$ -TQ (Table 1) and  $O_2$ , the feasibility of reaction (2)–(5) is explored. As to reaction (2), the summation of  $VIP_{T_1}$  and electron affinity of  $O_2$  is positive (3.44 eV in benzene and 1.05 eV in water), implying that this pathway is unfavourable in both solvents on thermodynamic grounds.

As to the autoionisation reactions, reaction (3) is not thermodynamically favourable both in benzene and water because of the positive value of the total reaction energy ( $VEA_{T_1} + VIP_{S_0}$ , 3.11 eV in benzene and 1.42 eV in water). Similar analysis indicates that reaction (4) is also forbidden in benzene, while permitted in water from the thermodynamic point of view based on the theoretical total reaction energy ( $VEA_{T_1} + VIP_{T_1}$ , 1.02 eV in benzene and -0.71 eV in aqueous solution). Once  $\alpha$ -TQ $^{\cdot-}$  is formed, it may then donate one electron to  ${}^3O_2$ , subsequently resulting in the formation of  $O_2^{\cdot-}$  (reaction (5)) for the negative value of total reaction energy (-0.37 eV). This accounts for the mechanism of  $O_2^{\cdot-}$ -generating by  $\alpha$ -TQ in water [3]. Following the generation of  $O_2^{\cdot-}$ , other ROS, such as  $H_2O_2$  and  $\cdot OH$ , can be produced through Fenton reaction [15] or Haber–Weiss reaction [16]. Moreover, even  $\alpha$ -TQ $^{\cdot-}$  is generated in benzene under suitable conditions (e.g. electron transfer between  $T_1$  state  $\alpha$ -TQ

Figure 2. Theoretically proposed photosensitisation mechanisms of  $\alpha$ -tocopherolquinone.

and ideal electron donors); it cannot give birth to  $\text{O}_2^{\cdot-}$  theoretically based on the fact that reaction (5) is thermodynamically unfavourable. This implies that  $^1\text{O}_2$  is the principal ROS during the photosensitisation of  $\alpha$ -TQ in benzene. The difference of the photogeneration of  $\text{O}_2^{\cdot-}$  by  $\alpha$ -TQ in benzene and water mainly arises from the fact that polar solvent facilitates the electron transfer process compared with nonpolar medium.

In summary, based on the above analysis, the ROS-photogenerating mechanisms of  $\alpha$ -TQ were provided and schemed in Figure 2, which gained some deeper insights into the paradoxical activities of VE.

### Acknowledgements

The authors thank the referee for the helpful suggestions to improve the paper. This work was supported by the National Natural Science Foundation of China (Grant no. 30700113) and the Natural Science Foundation of Shandong Province (Grant no. Y2007D53).

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